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| APPLICATION NO. | F | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|------------------------|-----------------------|------------|----------------------|-------------------------|------------------|
| 10/005,131 | 10/005,131 12/05/2001 | | Geoffrey Goldspink | 10103-004 | 8321 |
| 20583 | 7590 | 10/24/2006 | | EXAMINER | |
| JONES DA | | | HAMA, JOANNE | | |
| 222 EAST 4 NEW YORI | | 0017 | ART UNIT | PAPER NUMBER | |
| | • | | | 1632 | |
| | | | | DATE MAILED: 10/24/2006 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s) | Applicant(s) | | |
|--------------------|---------------------|--------------|--|--|
| 10/005,131 | GOLDSPINK, GEOFFREY | | | |
| Examiner | Art Unit | | | |
| Joanne Hama, Ph.D. | 1632 | | | |

| | Joanne Hama; Ph.D. | 1632 | |
|---|--|--|---|
| The MAILING DATE of this communication appe | ars on the cover sheet with the c | orrespondence add | ress |
| THE REPLY FILED <u>02 October 2006</u> FAILS TO PLACE THIS A | APPLICATION IN CONDITION FOR | R ALLOWANCE. | |
| I. The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in compliance time periods: | ving replies: (1) an amendment, aff tice of Appeal (with appeal fee) in c | idavit, or other evider compliance with 37 C | nce, which FR 41.31; or (3) |
| a) The period for reply expires 6 months from the mailing date | of the final rejection. | | |
| b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire la Examiner Note: If box 1 is checked, check either box (a) or (TWO MONTHS OF THE FINAL REJECTION. See MPEP 76 | ater than SIX MONTHS from the mailing (b). ONLY CHECK BOX (b) WHEN THE | g date of the final rejecti | on. |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of extender 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL | tension and the corresponding amount shortened statutory period for reply origi than three months after the mailing da | of the fee. The appropri | iate extension fee ce action; or (2) a |
| 2. The Notice of Appeal was filed on <u>02 October 2006</u> . A br the date of filing the Notice of Appeal (37 CFR 41.37(a)), appeal. Since a Notice of Appeal has been filed, any reply AMENDMENTS | or any extension thereof (37 CFR 4 | 11.37(e)), to avoid dis | missal of the |
| | hut prior to the data of filing a brief | will mak be entered by | |
| 3. The proposed amendment(s) filed after a final rejection, (a) They raise new issues that would require further co | nsideration and/or search (see NO | , will <u>not</u> be entered bi TE below); | ecause |
| (b) ☐ They raise the issue of new matter (see NOTE belo (c) ☐ They are not deemed to place the application in bet | | ducina or cimplifyina | the issues for |
| appeal; and/or | ter form for appear by materially re | ducing or simplifying | the issues for |
| (d) They present additional claims without canceling a | corresponding number of finally rej | ected claims. | |
| NOTE: See Continuation Sheet. (See 37 CFR 1.1 | 16 and 41.33(a)). | | |
| $oxed{I}. \ igsqcup$ The amendments are not in compliance with 37 CFR 1.13 | | mpliant Amendment | (PTOL-324). |
| 5. Applicant's reply has overcome the following rejection(s) | | | |
| Newly proposed or amended claim(s) would be al non-allowable claim(s). | | | |
| 7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is provided the status of the claim(s) is (or will be) as follows: | ☑ will not be entered, or b) ☐ wil vided below or appended. | ll be entered and an e | explanation of |
| Claim(s) allowed: Claim(s) objected to: | | | |
| Claim(s) rejected to: Claim(s) rejected: <u>31-35,40-42,51,58-62,67-69,78,97 and</u> Claim(s) withdrawn from consideration: | <u>98</u> . | | |
| AFFIDAVIT OR OTHER EVIDENCE | | | |
| 3. The affidavit or other evidence filed after a final action, bu because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e). | t before or on the date of filing a No d sufficient reasons why the affidav | otice of Appeal will <u>no</u> vit or other evidence is | <u>st</u> be entered s necessary and |
| The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to o showing a good and sufficient reasons why it is necessary | vercome <u>all</u> rejections under appea y and was not earlier presented. S | al and/or appellant fai ee 37 CFR 41.33(d)(1 | ls to provide a l). |
| 0. ☐ The affidavit or other evidence is entered. An explanation REQUEST FOR RECONSIDERATION/OTHER | n of the status of the claims after e | ntry is below or attach | ned. |
| The request for reconsideration has been considered bu see attached. | t does NOT place the application in | n condition for allowar | nce because: |
| 2. Note the attached Information Disclosure Statement(s).3. Other: | (PTO/SB/08) Paper No(s) | | |
| | ANNE M. WEHBE' PH.D | | |
| | PRIMARY EXAMINER | | |
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| | / 1 = 1 N1 | | |

U.S. Patent and Trademark Office PTOL-303 (Rev. 08-06) Continuation of 3. NOTE: The scope of the genomic sequence in claims 41, 42, 68, 69 has expanded from mammal/fish to homologs of eukaryotic sequence. This is a new issue of consideration.

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Applicant filed a response to the Final Rejection of April 4, 2006 on October 2, 2006. Because the claims have not been entered, the claims of December 29, 2005 are under consideration.

Claims 31-35, 40-42, 51, 58-62, 67-69, 78, 97, 98 are under consideration.

Maintained Rejections

35 U.S.C. § 112, 1st parag.

Applicant indicates that the art provides support for an artisan to practice enzyme replacement therapy for Fabry disease at the time the invention was made (Applicant's response, pages 5-10). Applicant discusses that the art teaches treatment with alpha-Galactosidase A protein (e.g. Schiffmann et al.). However, as indicated in the Final Action of April 4, 2006 (pages 4-5), the results of protein therapy cannot be extrapolated to that of gene therapy. Applicant also refers to journal articles of Eng et al. and Jung et al. for providing guidance for an artisan to practice the claimed invention using viral vectors. In response, the argument as they apply to using viral vectors is not germane to the instant elected invention, which is drawn to plasmids (see Final Action, April 4, 2006, page 2, under "Detailed Action"). Applicant is reminded that should journal articles be used to cite support, that a courtesy copy be provided with Applicant's response.

As such, the rejection, as it applies to the claims remains.

35 U.S.C. § 112, 2nd parag.

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Applicant addresses that claims have been amended. As the amendments filed October 2, 2006 have not been entered, the arguments supporting these amendments are not considered.

35 U.S.C. § 103(a)

Applicant indicates that the Jeang et al. reference teaches that the CMV gene together with its promoter and spliced mRNA structure may contain all of the regulatory elements necessary for strong constitutive expression in mammalian cells. As such, the reference does not teach that the viral (CMV) promoter contains all of the regulatory elements needed for strong constitutive expression, rather a combination of the CMV gene, promoter, and spliced mRNA does (Applicant's emphasis, Applicant's response, page 10, 3rd parag.). In response, this is not persuasive. In reading the section indicated by Applicant, Jeang, page 222, lines 6-10 (see Applicant's response, page 10, 3rd parag), Jeang et al. describes the nucleic acid regions that comprise the CMV promoter (Jeang et al., page 2221, 2nd col., line 12 to page 2222, 1st col., line 6), but does not teach that there are other requirements (e.g. spliced mRNA) for gene expression. It is noted that it is unclear where in the Jeang et al. text spliced mRNA is required for transcription. As such, the rejection remains.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joanne Hama, Ph.D. whose telephone number is 571-

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272-2911. The examiner can normally be reached Monday through Thursday and alternate Fridays from 9:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla, Ph.D. can be reached on 571-272-0735. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file

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